

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Yotaro HATAMURA et al. :  
Serial No. NEW : **Attn: Application Branch**  
Filed August 30, 2001 : **Attorney Docket No. 2001\_1227**

SUBSTRATE WITH MICRO-PROTRUSIONS  
AND METHOD OF MAKING THEREOF  
(Rule 1.53(b) Continuation  
of Serial No. 08/964,206,  
Filed November 4, 1997)

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**PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Prior to initial examination of the above-identified application, kindly amend as follows.

**In The Title:**

Please rewrite the title as follows:

METHOD OF MAKING SUBSTRATE WITH MICRO-PROTRUSIONS OR MICRO-CAVITIES

**In The Abstract:**

Please replace the original abstract with the enclosed substitute abstract.

08/964,206

**In The Specification:**

Please amend the specification as follows.

**Between the title and BACKGROUND OF THE INVENTION please insert the following paragraph:**

This is a continuation application of Serial No. 08/964,206 filed November 4, 1997.

**Please replace the paragraph beginning at page 1, line 13, with the following rewritten paragraph:**

Reading and writing on magnetic memories are performed by the relative sliding of a slider of a magnetic head sliding against a magnetic disc (hard disc). A dynamic pressure (wind pressure) is generated by the relative motion of the sliding surfaces, and forces the slider to separate from the disc surface; however, to obtain high strength signals, it is desired that the separation force be overcome and the distance between the slider and disc surfaces be minimized. In order to satisfy this condition even at low relative speeds without crashing the slider against the disc, the two surfaces may be made planar; however, when such two planar surfaces are brought close together, sticking (adherence) is generated because of the presence of moisture in the ambient air. Also, if a lubricant is used to reduce the friction, the phenomenon of sticking becomes even more aggravated. Sticking becomes more severe as the surface roughness (height of protrusions) diminishes, as the humidity increases and as the lubricant thickness increases. Therefore, to satisfy the above requirements in the presence of humidity and lubricant, the surfaces should be sufficiently smooth to minimize the distance between the slider and disc surfaces while sufficiently rough to prevent sticking. To meet such contradictory requirements, it has been a practice to provide micro-protrusions of the order of 10 nanometers (nm) on the sliding surfaces. This will be explained further with reference to Figure 20.

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**Please replace the paragraph beginning at page 4, line 9, with the following rewritten paragraph:**

There has been a serious problem in the actual use of the magnetic discs produced by the techniques described above. It has been found that, during the use of the magnetic disc in sliding contact with the slider of a magnetic head, foreign particles such as debris due to wearing as a result of the sliding action are entrapped between the slider and the disc, and are outstretched so as to stick to the slider or the disc thereby resulting in impeded transmission of signals. Furthermore, because moisture and lubricant may not be distributed uniformly across the surface of the disc, local sticking can occur between the slider and the disc, thereby causing abnormally high friction or, in some cases, self-vibration of the head (referred to as stick-slip), caused by sudden release from sticking, can result in plastic deformation or irregular friction phenomenon.

**Please replace the paragraph beginning at page 4, line 23, with the following rewritten paragraph:**

The debris biting and sticking phenomenon related to the conventional devices were examined in detail by the present inventors that led to the following observations. The primary causes are that, in the conventional devices, the inclusive angle of contact of the upright surface (side surface) of the micro-protrusions opposing the direction of relative movement of the sliding surface is small, which promotes the formation of a large meniscus. The formation of a meniscus on each of the various shaped of micro-protrusions will be explained in more detail with reference to Figures 23A, 23B and 23C which correspond to meniscus formation on micro-protrusions, 2a<sub>1</sub>, 2b<sub>1</sub>, and 2c<sub>1</sub>, having profiles show in Figures 20, 21 and 22, respectively. In Figures 23A~23C, the slider surface 3 (on a magnetic head for example) is in contact with a liquid substance 4 (moisture in air or lubricant) and the magnetic disc moves in the direction D relative to the slider surface 3. The meniscus means a curved boundary surface having a radius of curvature R formed between the air phase and the liquid phase. The relationship between the radius R and the profile shape of the micro-protrusions will be discussed further with reference to Figure 24.

**Please replace the paragraph beginning at page 6, line 18, with the following rewritten paragraph:**

When the micro-protrusions produced by the conventional techniques shown in Figures 20~22 were examined, it became apparent that the inclusive angle  $\theta$  is small (less than 70 degrees) and inevitably, large menisci are formed. In the conventional approach, the effort had been focused on the production aspects of micro-protrusions, and no attention has been paid to the shape of the micro-protrusions or the importance of the meniscus in causing operational problems.

**Please replace the paragraph beginning at page 7, line 4, with the following rewritten paragraph:**

It is an object of the present invention to resolve the problems inherent in the conventional techniques of producing micro-protrusions by emphasizing the importance of the structure of the micro-protrusions and a process of making optimum structures for micro-protrusions on the sliding surfaces. The approach is to prevent the phenomenon of sticking and reduce entrapping of foreign particles between the sliding surfaces.

**Please replace the paragraph beginning at page 7, line 11, with the following rewritten paragraph:**

This object has been achieved in a method for making micro-protrusions or micro-cavities on the surface of a substrate comprising the steps of: placing the substrate in a process chamber; supporting a mask member, having a micro shielding surface, independent of and in front of the substrate; and irradiating fast atomic beams onto the surface of the substrate through the mask member. Here, it is preferable that the micro-protrusions or micro-cavities have a height or depth ranging from 10 to 50 nm, and, for use in a slider member, 10 to 1,000,000 protrusions or cavities are formed on a  $1\text{mm}^2$  surface of the substrate.

**Please replace the paragraph beginning at page 7, line 22, with the following rewritten paragraph:**

The mask member having a micro shielding surface has a very small area of projection for shielding the fast atom beams so as to form micro-sized unetched surfaces in a form of micro-protrusions. The mask member is constructed mechanically or physically independent of the substrate, and thus is separable from the substrate and is not integral with the substrate, as would be a photoresist layer coated on the substrate surface. The mask member is usually held in parallel to the substrate surface.

**Please replace the paragraph beginning at page 8, line 5, with the following rewritten paragraph:**

The substrate may be a slider member for use in a mechanically sliding portion, that is, at least one of the members relatively movable to the other in a sliding manner. The fast atomic beams are usually irradiated substantially at right angles onto the surface of the substrate.

**Please replace the paragraph beginning at page 8, line 10, with the following rewritten paragraph:**

The mask member may comprise micro-objects dispersed on the surface of the substrate, e.g. round shaped micro-powders, strings, rods, debris or in any shape. The micro-objects may comprise at least one material selected from the group comprising alumina, carbon,  $\text{Si}_3\text{N}_4$ ,  $\text{SiC}$ ,  $\text{TiN}$ ,  $\text{ZrO}_2$ ,  $\text{MgO}$ , and synthetic resin. Toner particles for use in copying machines are also usable.

**Please replace the paragraph beginning at page 8, line 21, with the following rewritten paragraph:**

Another aspect of the invention is a method for making micro-protrusions or micro-cavities on a surface of a substrate comprising the steps of: dispersing micro-particles on the substrate surface; and irradiating the substrate surface with fast atomic beams at an angle of incidence determinable by an inclined angle measured with respect to a rotation axis normal to the substrate surface while a

beam source relatively swivels about the rotation axis. The inclined angle with respect to the rotation axis is more than 0 degree and can be selected in a range from 0 to 90 degrees. Usually the beam source is driven to swivel about the rotation axis, however, the substrate can be driven to rotate about the beam axis to obtain the same effect.

**Please replace the paragraph beginning at page 9, line 8, with the following rewritten paragraph:**

Another aspect of the invention is a method for making micro-protrusions or micro-cavities on a surface of a substrate comprising the steps of: dispersing micro-particles susceptible to etching by fast atomic beams on the substrate surface; and irradiating the substrate surface with fast atomic beams at an angle of incidence determinable by an inclined angle measured with respect to a rotation axis normal to the substrate surface while a beam source relatively swirls about the rotation axis.

**Please replace the paragraph beginning at page 9, line 16, with the following rewritten paragraph:**

Another aspect of the invention is a method for making micro-protrusions or micro-cavities on a surface of a substrate comprising the steps of: a first irradiation step irradiating the substrate surface with fast atomic beams through a mask member consisting of parallel wire or rod members disposed in contact with or in proximity to the substrate surface; and a second irradiation step irradiating the substrate surface with fast atomic beams through a mask member consisting of parallel wire or rod members disposed in contact with or in proximity to the substrate surface, the parallel wire or rod members being oriented at right angles or at an oblique angle to those in the first irradiation step.

**Please replace the paragraph beginning at page 10, line 12, with the following rewritten paragraph:**

According to this aspect of the present invention, because the inclusive angle of contact of the side surfaces (upright surfaces) is selected within a range of angles between 80 to 110 degrees, foreign particles do not become entrapped between the micro-protrusion and the sliding surface, but are simply transported by being abutting against the micro-protrusions. In effect, the depression spaces formed by the protrusions act as pockets for the debris particles. Because of the appropriate choice of the inclusive angle, the size of the meniscus is reduced compared with the meniscus size formed in association with conventional micro-protrusions, and sticking is prevented without changing the usual operating parameters such as protrusion height, volume or lubricant thickness or temperature of operation. In other words, another parameter for preventing sticking has been found to assure more reliable operation. Therefore, by forming the inclusive angle of contact to be between 80 to 110 degrees, a thicker layer of lubricant can be used to reduce wear while prevent sticking. Conversely, the control of the meniscus size, by controlling the inclusive angle of contact, enables the force of separation due to the presence of air pressure between the sliding surfaces and the force of attraction working at the meniscus to be optimally balanced, thereby leading to a possibility of effective adjustment of separation distance of the order of nanometers.

**Please replace the paragraph beginning at page 11, line 11, with the following rewritten paragraph:**

The friction reduction effect of the protrusion is especially high when the inclusive angle is larger than 90 degree, i.e. when  $90 < \theta \leq 110$ , because when a wear particle hits the protrusion, it goes down along the upright surface (side surface) so as to not cause generation of large friction. The advantage is particularly prominent when the depression is formed as a lattice configuration. Otherwise, a large friction is generated to cause damage to the slider member, fluctuation of the attitude of the slider member, distortion of the support mechanism for the slider member, or deterioration of the sliding surface, which may, at the worst, make the slider unusable.

**Please replace the paragraph beginning at page 13, line 24, with the following rewritten paragraph:**

Figure 19 is a perspective view of the product made in an eighth embodiment of the method for making the micro-protrusions.

**Please replace the paragraph beginning at page 15, line 9, with the following rewritten paragraph:**

Figure 2 is a cross sectional view to illustrate the relationship between the protrusion 12a and a sliding surface 3 of the slider, a liquid substance 4, a foreign particle 5 and the direction of motion D. The notations are the same as those shown in Figure 24. As can be seen in this drawing, because the inclusive angle of contact of the upright surface 12a<sub>1</sub> of the protrusion 12a is 90 degrees with respect to the sliding surface 3, the meniscus formation is less and sticking is less prevalent than those for the protrusions made by the conventional process. Likewise, the foreign particle 5 is less likely to be included between the sliding surface 3 and the protrusion 12

**Please replace the paragraph beginning at page 16, line 18, with the following rewritten paragraph:**

At the present time, the most sensitive microprofiling device is an Atomic Force Microscope having a fine-needle sensor which explores between two objects to measure the interatomic forces acting between two objects. However, it is difficult to determine the profile shape even with this instrument. In practice, as will be described in the embodiments to follow, the profile shape can be estimated from the angle of irradiation of the fast neutron particles which are used to produce the protrusions.



**Please replace the paragraph beginning at page 17, line 13, with the following rewritten paragraph:**

The process of forming the protrusions will be explained in the following. Figures 4A-4D are cross sectional views showing the steps in a first embodiment of making the protrusions. In Figure 4A, the substrate 1 is a glass substrate. As shown in Figure 4A, the top surface 10 of the substrate 1 is polished flat. Next, as shown in Figure 4B, fine powdery particles 13 (for example, resin particles which would not be etched by fast neutron particles) of 1 mm diameter as a mask or masking are dispersed on the top surface 10 which is irradiated with a fast neutron beam comprised of  $SF_6$  for one minute. The fast neutron beam is referred to as a Fast Atomic Beam (FAB) and is characterized by its high speed, electrical neutrality and linearity of beam propagation. Because the beam comprises neutron particles, not ionic particles, the FAB is able to etch electrically insulating substances. The FAB has an excellent linear propagation property, and irradiation through a masking at right angles to a target surface will produce upright structures at 90 degrees.

**Please replace the paragraph beginning at page 18, line 5, with the following rewritten paragraph:**

The powder particles 13 are dispersed so that the FAB will etch 95% of the planar area of the top surface 10, to produce the protrusions 12a, 12b which have the same profile as those shown in Figure 1. Next, the powder particles 13 are washed away, and a magnetic film layer 15 and a carbon film layer 16, functioning as a protective film having lubricating as well as anti-oxidation qualities, are deposited on the surfaces to follow the contours of the protrusions 12a, 12b. These steps complete the process of making a magnetic disc. The upright surfaces of the protrusions 12a, 12b are at 90 degrees to the direction D of the sliding motion of substrate 1, and the carbon film layer 16 follows the contours of the protrusion at the same angle. The upright surfaces of the protrusions produced by the techniques presented in the second to fourth embodiments are formed in the same manner. The formation of a 90-degree angle on the upright surfaces has been made possible for the first time, only through the use of the fast neutron beam, and it should be noted that conventional

techniques are not capable of producing such angels. Although the embodiment was illustrated with the use of powder particles 13 as a masking material, other materials such as fine pieces of needle fibers or plates, ionic crystals such as salt can also be used.

**Please replace the Paragraph beginning at page 19, line 2, with the following rewritten paragraph:**

Figures 5A-5C are cross sectional views to illustrate a process of producing protrusions in a second embodiment. Those parts which are the same or equivalent to those shown in Figures 4A-4D are given the same reference numerals, and their explanations are omitted. As shown in Figure 5A, a magnetic film 15 and a protective film layer 16 (carbon, in this case) are deposited on top of the substrate 1. Next, a masking device comprised by wires 14 such as fine piano wires arranged in a plane, is positioned near the carbon film layer 16, and an oxygen FAB is radiated from above. The resulting structure, shown in Figure 5C, comprises protrusions 16a<sub>1</sub>-16a<sub>4</sub> directly on top of the carbon film layer 16. In this example, wires 14 are separated from the carbon film layer 16, but it is permissible to have the wires 14 contact the carbon film layer 16. Also, it is not necessary to have wires 14 of circular cross sectional shape, and other shapes such as square, oval, trapezoidal and other shapes are permissible.

**Please replace the paragraph beginning at page 19, line 19, with the following rewritten paragraph:**

Figures 6A-6C are cross sectional views showing a process of making protrusions in a third embodiment. In Figure 6A, a magnetic head 20 (made of a ceramic material) has a slider 21 having a smooth curved sliding surface 21a for sliding on a magnetic disc (not shown). The curved surface is known as a crown, and has a height of 25 nm, for example. The examples shown in Figures 4A-4D and 5A-5C referred to making protrusions on magnetic discs, but in this embodiment, the protrusions are provided on the slider. First, as shown in Figure 6B, the magnetic head 20 and the slider 21 are inverted, and a masking, comprising parallel wires 23, is disposed to face the curved surface 21a, and the FAB is irradiated from above. The resulting structure of the curved surface 20a of a slider 21b

comprising protrusions 21b<sub>1</sub>, 21b<sub>2</sub>, 21b<sub>3</sub>... is shown in Figure 6C. It should be noted that, as in the second embodiment, the wires 23 may be placed in contact with the curved surface 21a, and, there is no need to restrict the cross sectional shape of the wires 23 to a circular shape, and other shapes such as square, oval and trapezoidal are permissible.

**Please replace the paragraph beginning at page 20, line 13, with the following rewritten paragraph:**

In the second and third embodiments, parallel wires 14, 23 were used for the masking device, but rod members may replace wire members. An example is shown in Figure 7 which is a perspective view of an assembly of rod members. Here, the masking device is comprised by a rod assembly 14A (23A) comprised by rod members 14A<sub>2</sub> arranged in parallel on a base section 14A<sub>1</sub>. These rod members 14A<sub>2</sub> may be replaced with wire members, as in the second and third embodiments, without affecting the result. The cross sectional shape of the rod members 14A<sub>2</sub> shown in Figure 7 is square, but other shapes such as circular, oval and trapezoidal are also permissible. The wire assembly 14A (23A) shown in this drawing can be made by a process which will be presented later in Figure 13 or 14.

**Please replace the paragraph beginning at page 21, line 1, with the following rewritten paragraph:**

Figures 8A-8C show process steps related to making protrusions in a fourth embodiment, and Figure 8D is a perspective view of the product produced by the process. In this embodiment, protrusions are produced on top of the carbon film layer 26 serving as the protective layer for contacting the slider of the magnetic head. In contrast to the previous protrusions which were isolated entities, the protrusions produced in this example are formed in a contiguous way. As shown in Figure 8A, the magnetic head is comprised by carbon film layer 26 and an underlying whole slider structure referred by numeral 25. Figure 8B is a perspective view of a wire matrix 28 used as a masking for the FAB irradiation process. The matrix masking 28 is placed in the vicinity of the carbon film layer 26, and an oxygen FAB is radiated for fifteen seconds through the matrix masking

28. The resulting product is shown in Figure 8D comprising a contiguous carbon protrusion 26a formed from carbon film layer 26. The matrix masking 28 is disposed in such a way that the direction D of the relative sliding motion is aligned with the diagonals of square-shaped depressions formed in layer 26. It should be noted again that there is no restriction in the cross sectional shape of the wires 28, and other shapes such as squares, oval, trapezoidal and other shapes may be substituted. The matrix masking 28 also need not necessarily be made into a net shape beforehand. It is permissible to utilize a set of parallel wires and another set of parallel wires disposed at right angles to the first set to form a net shape.

**Please replace the paragraph beginning at page 22, line 2, with the following rewritten paragraph:**

The example illustrated in Figure 8D utilized a net type masking 28, but a matrix type masking may be made by using materials other than wires. Figures 9-12 show examples of other types of contiguous masking, referred to generally as matrix-type masking hereinbelow, which includes plate-type masking having fine holes which are equivalent in their performance for making protrusions. Figure 9 shows a matrix type masking 28A having a plurality of square-shaped cavities formed in a plate, Figure 10 shows a masking 28B having a plurality of hexagonal-shaped cavities, or honeycomb shaped cavities, formed in a plate, Figure 11 shows a masking 28C having a plurality of circular-shaped cavities formed in a plate, and Figure 12 shows a masking 28D having a plurality of rhombus-shaped cavities formed in a plate. Other shapes of cavities may also be adopted.

**Please replace the paragraph beginning at page 22, line 17, with the following rewritten paragraph:**

A method for making the matrix type masking shown in Figure 9-12 will be briefly explained with reference to Figures 13A-13C and 14A-14F. Figures 13A-13C, for example, relate to the steps for making the masking 28A shown in Figure 9. A base plate S is covered with a photoresist film R (Figure 13A); next, square shaped portions are removed for the photoresist film R by means of a

photolithographic process (Figure 13B); cavities are formed in the base plate S corresponding to the locations of the removed sections of film R (Figure 13C) by an etching process to produce the matrix type masking 28A shown in Figure 9.

**Please replace the paragraph beginning at page 23, line 2, with the following rewritten paragraph:**

Figures 14A-14F, for example, relate to the steps for making the masking 28B shown in Figure 10. The masking process utilizes a base plate S, an electrically conductive layer E and a photoresist layer R. The conductive layer E is formed on the base plate S (Figure 14A), and the layer E is covered with the photoresist film R (Figure 14B). Next, hexagonal shaped portions are removed from the photoresist film R by means of a photolithography process (Figure 14C); cavities are formed in the conductive layer E corresponding to locations of removed sections of film R by an etching process, and the remaining resist film R is removed (figure 14D). Using the remaining conductive layer E, a thick electroplated layer M is produced on the layer E (Figure 14E). Next, the conductive layer E is removed by immersing the entire masking-precursor in an etching solution which does not attack the base plate S and the plated layer M, the latter being separated from the base plate S to produce matrix type masking 28B shown in Figure 10. It is clear that the rod assembly 14A (23A) shown in Figure 7 can also be produced by the steps outlined in Figures 13A-13C or 14A-14F.

**Please replace the paragraph beginning at page 23, line 21, with the following rewritten paragraph:**

Figures 15A-15C are cross sectional views of the steps in making protrusions in the fifth embodiment. In contrast to each of the foregoing embodiments related to making protrusions having upright surface angles of 90 degrees, the fifth embodiment relates to making protrusions having upright surface angles exceeding 90 degrees, whose profile is the same as that shown in Figure 3B. Figure 15A shows substrate 1 for the magnetic disc including a magnetic film layer 15 and a carbon film layer 16. Powder particles 13 (for masking) such as those shown in Figure 15B are dispersed on the surface of the magnetic disc, and the surface is irradiated with the FAB from above. A beam

source 20 for the FAB is inclined at a specific angle with respect to an axis 19 which is at right angles to the surface of the magnetic disc. The FAB is emitted from the beam source 20 to the carbon film layer 16 while the source 20 is made to relatively swivel about the axis 19. In Figure 15B, the incident beams emitted when the beam source 20 is located at the double-dotted broken line are shown by solid lines while the incident beams emitted when the beam source 20 is located at the opposite location are shown by ordinary broken lines. By utilizing this method, protrusions 16b<sub>1</sub> having upright surface angles in excess of 90 degrees are formed on the sliding surface.

**Please replace the paragraph beginning at page 24, line 18, with the following rewritten paragraph:**

Figures 16A-16D are cross sectional views, in a sixth embodiment, of the steps for making protrusions on a magnetic disc having a carbon film layer 16 with the use of powder particles 30 made of carbon, for example. As shown in Figure 16A, the carbon particles 30 are dispersed on the carbon film layer 16, and the FAB is radiated from above. After a certain period of irradiation, protrusions 16a<sub>1</sub> shown in Figure 16B are formed on the carbon film layer 16, however, because the FAB is also directed at the powder particles 30, their diameters are reduced during the irradiation process. An example of the reduced-diameter powder particle 30a<sub>1</sub> is shown in Figure 16B. When the irradiation process is continued in this state, because the masking particle now has a reduced diameter, a protrusion 16a<sub>2</sub> having a smaller diameter than the original powder particle 30 is formed on top of the prior protrusion 16a<sub>1</sub>, as illustrated in Figure 16C. The powder particle becomes further reduced to produce a powder particle 30a<sub>2</sub>, as shown in Figure 16C. If the irradiation time and/or the irradiation strength are adjusted so as to produce powder particles of gradually reducing diameters, the protrusion assumes substantially a cone shape as illustrated in Figure 16D, and the original powder particle 30 becomes a micro-particle 30a<sub>n</sub> and ultimately disappears as the irradiation process is continued. The process finally produces protrusions having an upright surfaces oriented at angles less than 90 degrees with respect to the sliding surface. An advantage of this process is that the cumbersome step of washing off the powder particles necessary in the example shown in Figure 4A-

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4D can be eliminated. It can be readily understood that the use of the above process simultaneously with the method of slanted irradiation FAB shown in Figures 15A-15C will enable production of an inclusive angle of the upright surface at 90 degrees with respect to the sliding surface.

**Please replace the paragraph beginning at page 26, line 1, with the following rewritten paragraph:**

The foregoing embodiments are related to methods of forming protrusions on a magnetic disc or slider surface. It should be noted that the formation of such protrusions is not limited to magnetic discs or sliders, and they can be produced equally well on other devices such as optical magnetic discs and their associated parts. An example of application to a radial slide bearing is illustrated in Figures 17A-17D, and an example of an application to a thrust bearing is shown in Figure 18.

**Please replace the paragraph beginning at page 26, line 9, with the following rewritten paragraph:**

Figures 17A-17D are cross sectional views of the steps of making protrusions in a seventh embodiment. A radial slide bearing housing comprises a steel block 33 having an axial hole 34 through the middle thereof for insertion of a rotation shaft (not shown). As shown in Figure 17A, the block 33 constitutes a housing for the bearing, and the inside surface of the axial hole serves as the bearing surface. Next, as shown in Figure 17B, parallel wires 36 are arranged to face the inner surface of the block 33, and a beam source 38 shown in Figure 17C is inserted into the axial hole 34 so as to irradiate the inner surface of the axial hole 34 with the FAB. This FAB irradiation process is carried out while rotating the beam source 38 about its axis 38a as well as translating the beam source 38 in the axial direction. This process results in the production of protrusions 39, on the inner surface of the axial hole 34, having upright surfaces at 90 degrees to the sliding surface, as shown in Figure 17D.

**Please replace the paragraph beginning at page 27, line 1, with the following rewritten paragraph:**

Figure 18 is a perspective view of a step in making the protrusions in an eight embodiment. A plurality of wires 44 are arranged radially on a thrust bearing housing 43, made of steel, having a sliding surface 43a, and the FAB is irradiated from above. This process results in the production of protrusions on the sliding surface 43a, but the process of formation is similar to the cases presented earlier and will not be illustrated.

**Please replace the paragraph beginning at page 27, line 17, with the following rewritten paragraph:**

Figure 19 shows a case of forming two-stage protrusions on a carbon film layer 26. In Figure 19, protrusions 26b are comprised of a plurality of top-stage protrusions 26b<sub>1</sub> and lower-stage protrusions 26b<sub>2</sub>. The protrusion 26a which was shown in Figure 8D was made by using a matrix type masking comprising a wire-net 28. The protrusions 26b shown in Figure 19 are made by arranging wires of the net aligned in one direction as a first masking. And after irradiating with the FAB, the wires are then arranged in the orthogonal direction to be used as a second masking, to finally produce two-stage protrusions. The protrusions 26b shown in Figure 19 were made by this two-step process. That is, wires aligned in the Y-direction were used first to irradiate with the FAB, and after removing these U-wires, another set of wires aligned in the X-direction were used for further irradiation.

**Please replace the paragraph beginning at page 28, line 7, with the following rewritten paragraph:**

Such two-stage protrusions 26b not in contact with each other through the sliding surface can be produced by using the above method, without relying on the powder process illustrated in Figures 4A-4D, thereby simplifying the process. The two-stage protrusions can also be made by using a



masking device based on the rod assembly 14A shown in figure 7. When this masking is used once, arrays of linear contiguous protrusions are formed, and then by rotating the masking and irradiating again, it is possible to produce independent two-stage protrusions shown in Figure 19.

**Please replace the paragraph beginning at page 28, line 17, with the following rewritten paragraph:**

In overall summary, the micro-protrusions presented in the present invention are unique because of the inclusive angle of contact of the upright surface is limited to be in a range between 80-110 degrees, depending on the application requirements. This range of angles is effective in preventing biting of foreign debris in the inclusion space and sticking of the sliding surfaces. The use of the fast atomic beam has been the key factor which enabled for the first time selection of the contact angle of the upright surfaces.

**In the Claims:**

Kindly cancel claims 1-18.

Kindly add the following new claims 19-49.

19. (New) A method of, during the manufacture of a slider member to be used in sliding relation to an other member, forming micro-protrusions on or micro-cavities in a surface of a substrate from which is formed said slider member, in a manner to reduce sticking between said surface and the other member and to reduce entrapment of foreign particles therebetween, said method comprising:

placing said substrate in a process chamber;

supporting a mask member in front of said surface of said substrate, said mask member comprising a plurality of fine wire or rod members disposed in contact with or in proximity of said substrate surface; and

irradiating fast atomic beams through said mask member onto said surface of said substrate, and thereby forming said micro-protrusions or said micro-cavities, said forming comprising controlling said irradiating such that each micro-protrusion or micro-cavity has a top or bottom surface, respectively, and a side surface, with said side surface extending at an inclusive angle of from approximately 80° to approximately 110° to an intended direction of sliding of said slider member relative to the other member and to said surface of said substrate.

20. (New) A method as claimed in claim 19, wherein said slider member comprises a magnetic disc or a magnetic head.

21. (New) A method as claimed in claim 19, wherein said surface of the substrate comprises carbon, SiO<sub>2</sub>, ceramic material, nickel-plated layer, or glass.

22. (New) A method of, during the manufacture of a slider member to be used in sliding relation to an other member, forming into micro-protrusions on or micro-cavities in a surface of a substrate from which is formed said slider member, in a manner to reduce sticking between said surface and the other member and to reduce entrapment of foreign particles therebetween, said method comprising:

placing said substrate in a process chamber;

supporting a mask member in front of said surface of said substrate, said mask member having a micro shielding configuration and not being integral with said substrate; and

irradiating fast atomic beams through said mask member onto said surface of said substrate,

said irradiating comprising directing said fast atomic beams from a beam source at an angle of incidence determined by an angle of inclination measured with respect to a rotation axis normal to said surface of said substrate, and rotating one of said beam source and said substrate about said rotation axis relative to the other of said beam source and said substrate, thereby forming said micro-protrusions or said micro-cavities, said forming comprising controlling said irradiating such that each micro-protrusion or micro-cavity has a top or bottom surface, respectively, and a side surface, with said side surface extending at an inclusive angle of from approximately 80° to approximately 110° to an intended direction of sliding of said slider member relative to the other member and to said surface of said substrate.

23. (New) A method as claimed in claim 22, wherein said slider member comprises a magnetic disc or a magnetic head.

24. (New) A method as claimed in claim 22, wherein said surface of the substrate comprises carbon, SiO<sub>2</sub>, ceramic material, nickel-plated layer, or glass.

25. (New) A method of, during the manufacture of a slider member to be used in sliding relation to an other member, forming micro-protrusions on or micro-cavities in a surface of a substrate from which is formed said slider member, in a manner to reduce sticking between said surface and the other member and to reduce entrapment of foreign particles therebetween, said method comprising;

placing said substrate in a process chamber; and

irradiating fast atomic beams through said mask member onto said surface of said substrate, and said irradiating comprises a first irradiation operation of irradiating said fast atomic beams through a first mask member comprising parallel wires or rods disposed adjacent to said surface of said substrate, and a second irradiation operation of irradiating said fast atomic beams through a second mask member comprising parallel wires or rods disposed adjacent to said surface of said substrate and extending at an angle to a direction of extension of said parallel wires or rods of said

first mask member, thereby forming said micro-protrusions or said micro-cavities, said forming comprising controlling said irradiating such that each micro-protrusion or micro-cavity has a top or bottom surface, respectively, and a side surface, with said side surface extending at an inclusive angle of from approximately 80° to approximately 110° to an intended direction of sliding of said slider member relative to the other member and to said surface of said substrate.

26. (New) A method as claimed in claim 25, wherein said slider member comprises a magnetic disc or a magnetic head.

27. (New) A method as claimed in claim 25, wherein said surface of the substrate comprises carbon, SiO<sub>2</sub>, ceramic material, nickel-plated layer, or glass.

28. (New) A method of, during the manufacture of a slider member to be used in sliding relation to another member, forming micro-protrusions on or micro-cavities in a surface of a substrate from which is formed said slider member, in a manner to reduce sticking between said surface and the another member and to reduce entrapment of foreign particles therebetween, said method comprising:

placing said substrate in a process chamber;

supporting a mask member in front of said surface of said substrate, said mask member having a micro shielding configuration and not being integral with said substrate; and

irradiating fast atomic beams through said mask member onto said surface of said substrate, and thereby forming said micro-protrusions or said micro-cavities, said forming comprising controlling said irradiating such that each said micro-protrusion or micro-cavity has a top or bottom surface, respectively, and a side surface, with said side surface extending at an inclusive angle of from approximately 80° to approximately 110° to an intended direction of sliding of said slider member relative to the another member and to said surface of said substrate,

wherein said irradiating comprises directing said fast atomic beams from a beam source at an angle of incidence determined by an angle of inclination measured with respect to a rotation axis normal to said surface of said substrate, and rotating one of said beam source and said substrate about said rotation axis relative to the other of said beam source and said substrate.

29. (New) A method as claimed in claim 28, wherein a portion of said substrate forming said surface thereof comprises an electrically insulating layer.

30. (New) A method as claimed in claim 29, wherein said electrically insulating layer comprises a protective layer covering a magnetic layer formed on said substrate.

31. (New) A method as claimed in claim 30, wherein said protective layer is made of carbon, SiO<sub>2</sub>, or ceramic material.

32. (New) A method as claimed in claim 28, wherein a portion of said substrate forming said surface thereof comprises a nickel-plated layer.

33. (New) A method as claimed in claim 28, wherein a portion of said substrate forming said surface thereof comprises glass.

34. (New) A method as claimed in claim 28, wherein said irradiating comprises directing said fast atomic beams substantially at a right angle onto said surface of said substrate.

35. (New) A method as claimed in claim 28, wherein said forming comprising controlling said irradiating such that each said micro-protrusion or micro-cavity has a top or bottom surface, respectively, and a side surface, with said side surface extending at an inclusive angle of from approximately 80° to approximately 110, to an intended direction of sliding of said slider member relative to the another member and to said surface of said substrate.

36. (New) A method as claimed in claim 35, wherein said angle is from approximately 90° to approximately 110°.

37. (New) A method as claimed in claim 35, wherein said angle is from approximately 80° to approximately 90°.

38. (New) A method as claimed in claim 35, wherein said angle is substantially 90°.

39. (New) A method as claimed in claim 28, wherein said mask member comprises micro-objects dispersed on said surface of said substrate.

40. (New) A method as claimed in claim 39, wherein said micro-objects comprise micro-particles of powder.

41. (New) A method as claimed in claim 39, wherein said micro-objects are formed from at least one material selected from the group consisting of alumina, carbon, Si<sub>3</sub> N<sub>4</sub>, SiC, TiN, ZrO<sub>2</sub>, MgO and synthetic resin.

42. (New) A method as claimed in claim 39, wherein said micro-objects are susceptible to etching by said fast atomic beams.

43. (New) A method as claimed in claim 39, wherein said micro-objects are not susceptible to etching by said fast atomic beams.

44. (New) A method as claimed in claim 28, wherein said mask member comprises a plurality of fine wire or rod members disposed adjacent said surface of said substrate.

45. (New) A method as claimed in claim 44, wherein said plurality of wire or rod members extend parallelly.

46. (New) A method as claimed in claim 44, wherein said plurality of wire or rod members are arranged to form a matrix.

47. (New) A method as claimed in claim 28, wherein said mask member comprises a plate member having therein a pattern of cavities and disposed adjacent said surface of said substrate.

48. (New) A method as claimed in claim 28, wherein said irradiating comprises a first irradiation operation of irradiating said fast atomic beams through a first mask member in the form of parallel wires or rods disposed adjacent to said surface of said substrate, and a second irradiation operation of irradiating said fast atomic beams through a second mask member in the form of parallel wired or rods disposed adjacent to said surface of said substrate and extending at an angle to a direction of extension of said parallel wires or rods of said first mask member.

49. (New) A method as claimed in claim 28, wherein said micro-protrusions or micro-cavities have a height or depth of approximately 10nm.


### REMARKS

The present Preliminary Amendment is submitted to cancel claims 1-18, and add new claims 19-49. Also, the specification and abstract are amended in a manner similar to that in the parent application Serial No. 08/964,206, thereby placing the application in better condition for examination.

A copy of the amended portion of the specification with changes marked therein is attached and entitled "Version with markings to show changes made."

Respectfully submitted,

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## ABSTRACT OF THE DISCLOSURE

A slider can prevent the phenomenon of sticking and reduce entrapping of foreign particles between sliding surfaces. A method for making micro-protrusions or micro-cavities on a surface of a substrate comprises placing the substrate in a process chamber, supporting a mask member having a micro shielding surface independent of and in front of the substrate, and irradiating fast atomic beams onto the surface of the substrate through the mask member.

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## BACKGROUND OF THE INVENTION

## 5 Field of the Invention

10 The present invention relates to a slider member having micro-protrusions for reducing the sliding friction and a method of forming such micro-protrusions on the substrate surfaces, for example, between a magnetic disc and a slider of the magnetic head.

## Description of the Related Art

12 Reading and writing on magnetic memories are performed  
13 <sup>by</sup> through the relative sliding ~~action~~ of a slider of a magnetic head sliding against a magnetic disc (hard disc). A dynamic  
15 pressure (wind pressure) is generated by the relative motion of the sliding surfaces, and forces the slider to separate from the disc surface; however, to obtain high strength signals, it is desired that the separation force be overcome and the distance between the slider and disc surfaces be minimized. In order to  
20 satisfy this condition even at low relative speeds without crashing the slider against the disc, the two surfaces may be made planar; however, when such two planar surfaces are brought close together, sticking (adherence) is generated because of the presence of moisture in the ambient air. Also, if a lubricant  
25 is used to reduce the friction, the phenomenon of sticking becomes

even more aggravated. Sticking becomes more severe as the surface roughness (height of ~~the~~ protrusions) diminishes, as the humidity increases and as the lubricant thickness increases. Therefore, to satisfy the above requirements in the presence of humidity and lubricant, the surfaces should be sufficiently smooth to minimize the distance between the slider and disc surfaces while sufficiently rough to prevent sticking. To meet such contradictory requirements, it has been a practice to provide micro-protrusions of the order of 10 nanometers (nm) on the sliding surfaces. This will be explained further with reference to Figure 20.

Figure 20 is a cross sectional view of micro-protrusions formed on a sliding surface by a conventional technique. In Figure 20, the reference numeral 1 refers to a substrate of the magnetic disc made of an aluminum alloy, which may be covered with a nickel plating, or a glass substrate. The substrate 1 is first made into a plain surface 2a, then the surface 2a is abraded lightly with abrading tape or cloth containing powder particles so as to produce a roughened surface containing micro-protrusions 2a<sub>1</sub>, 2a<sub>2</sub>, 2a<sub>3</sub> of the order of 10 nm height. On top of the irregular shaped surface thus formed, a magnetic film layer and a protective film layer, made of a carbon film, SiO<sub>2</sub> film, ceramic film or other type of protective films, are deposited in succession to ultimately produce a sliding surface so that the contour of the outermost protective surface

layer and a protective layer, to ultimately produce a protective top sliding layer having an irregular surface structure. In this case also, the top surface 2c may not necessary be a surface of the substrate, and may be a flat surface of a magnetic film or a protective film to which similar deposition techniques can be applied to ultimately produce a protective film layer having micro-protrusions 2c<sub>1</sub>, 2c<sub>2</sub>, and 2c<sub>3</sub> to be used as the sliding surface.

9 There has been a serious problem in the actual use of the  
10 magnetic discs produced by the techniques described above. It  
has been found that, during the use of the magnetic disc in sliding  
contact with the slider of a magnetic head, foreign particles  
such as debris due to wearing <sup>as a result of</sup> ~~through~~ the sliding action are  
13 entrapped between the slider and the disc, and are outstretched  
15 so as to stick to the slider or the disc thereby resulting in  
16 impeded transmission of signals. Furthermore, because ~~the~~  
moisture and lubricant may not be distributed uniformly across  
the surface of the disc, local sticking can occur between the  
slider and the disc, thereby causing abnormally high friction  
20 or, in some cases, self-vibration of the head (referred to as  
stick-slip), caused by sudden release from sticking, can result  
in plastic deformation or irregular friction phenomenon.

23 The debris biting and sticking phenomena related to the  
24 conventional devices ~~structures~~ were examined in detail by the  
25 present inventors that led to the following observations. The

primary causes are that, in the conventional devices, the inclusive angle of contact of the upright surface (side surface) of the micro-protrusions opposing the direction of relative movement of the sliding surface is small, which promotes the

(5) formation of a large meniscus. The formation of <sup>a</sup>meniscus on <sup>each of the</sup> various shapes of micro-protrusions will be explained in more detail with reference to Figures 23A, 23B and 23C which correspond to meniscus formation on micro-protrusions,  $2a_1$ ,  $2b_1$  and  $2c_1$ , having profiles shown in Figures 20, 21 and 22, respectively.

10 In Figures 23A-23C, the slider surface 3 (on <sup>a</sup>magnetic head for example) is in contact with a liquid substance 4 (moisture in air or lubricant) and the magnetic disc moves in the direction D relative to the slider surface 3. The meniscus means a curved boundary surface having a radius of curvature R formed between  
15 the air phase and the liquid phase. The relationship between the radius R and the profile shape of the micro-protrusions will be discussed further with reference to Figure 24.

Figure 24 is a cross sectional view of a micro-protrusion. As a representative profile of a micro-protrusion, the profile  
20 of the protrusion  $2c_1$  shown in Figure 23C has been chosen; however, this discussion applies in general to other profiles of micro-protrusions. The reference numerals are the same as those used earlier. A foreign debris particle 5 is present in the fore direction. In this example, the distance between the slider  
25 surface 3 and the bottom surface of the protrusion  $2c_1$  is shown

to be about 10 nm (the height of the micro-protrusion), and the profile is assumed to be symmetrical. The angle of the meniscus is  $\theta$  which refers to the inclusive angle of contact between the slider surface 3 and the leading surface in the moving direction of the micro-protrusion  $2c_1$ . Force  $F_1$  is exerted to the micro-protrusion  $2c_1$  by the liquid substance 4.

If the inclusive angle  $\theta$  is small, there is a larger area of contact between the slider surface 3 and the micro-protrusion  $2c_1$ , and the meniscus, i.e. a radius of curvature  $R$ , becomes large. The larger the meniscus, the larger the force  $F_1$  to cause more sticking. Furthermore, it can be seen that if the inclusive angle  $\theta$  is small, it is more likely that the debris particle can become lodged in the wedge shaped interface between the slider surface 3 and the micro-protrusion  $2c_1$ . It has therefore been concluded that debris biting and sticking phenomena are both related fundamentally to the inclusive angle of contact  $\theta$  between the sliding surface and the micro-protrusion.

When the micro-protrusions produced by the conventional techniques shown in Figures 20-22 were examined, it became apparent that the inclusive angle  $\theta$  is small (less than 70 degrees) and inevitably, large menisci are formed. In the conventional approach, the effort had been focused on the production aspects of micro-protrusions, and no attention has been paid to the shape of the micro-protrusions or the importance

the  
of meniscus in causing operational problems.

#### SUMMARY OF THE INVENTION

4 It is an object of the present invention to resolve the  
5 problems inherent in the conventional techniques of producing  
micro-protrusions by emphasizing the importance of the structure  
of the micro-protrusions and a process of making optimum structures  
for micro-protrusions on the sliding surfaces. The approach is  
to prevent the phenomenon of sticking and reduce entrapping of  
10 foreign particles between the sliding surfaces.

11 This object has been achieved in a method for making  
micro-protrusions or micro-cavities on a surface of a substrate  
comprising the steps of: placing the substrate in a process  
chamber; supporting a mask member, having a micro shielding  
15 surface, independent of and in front of the substrate; and  
irradiating fast atomic beams onto the surface of the substrate  
through the mask member. Here, it is preferable that the  
micro-protrusions or micro-cavities have a height or depth  
ranging from 10 to 50 nm, and, for use in a slider member, 10  
20 to 1,000,000 protrusions or cavities are formed on a 1 mm<sup>2</sup> surface  
of the substrate.

28 The mask member having a micro shielding surface has a  
very small area of projection for shielding the fast atom beams  
so as to form micro-sized unetched surfaces in a form of  
25 micro-protrusions. The mask member is constructed mechanically

1 or physically independent of the substrate, <sup>and</sup> thus is separable  
2 from the substrate and is not integral with the substrate, <sup>as would be</sup> like  
a photoresist layer coated on the substrate surface. The mask  
member is usually held in parallel to the substrate surface.

5 The substrate may be a slider member for use in a  
mechanically sliding portion, that is, at least one of the members  
relatively movable to the other in a sliding manner. The fast  
6 atomic beams are usually irradiated substantially at right angles  
onto the surface of the substrate.

10 The mask member may comprise micro-objects dispersed on  
11 the surface of the substrate, <sup>c.g.</sup> ~~which may be of a usual~~ round shaped  
micro-powders, strings, rods, debris or in any shape. The  
micro-objects may comprise at least one material selected from  
the group comprising alumina, carbon,  $\text{Si}_3\text{N}_4$ ,  $\text{SiC}$ ,  $\text{TiN}$ ,  $\text{ZrO}_2$ ,  $\text{MgO}$ ,  
15 and synthetic resin. Toner particles for use in copying machines  
are also usable.

The mask member may comprise a plurality of fine wire or  
rod members disposed in contact with or in proximity of the  
substrate surface, which are usually arranged in parallel or to  
20 form a matrix.

21 Another aspect of the invention is a method for making  
micro-protrusions or micro-cavities on a surface of a substrate  
comprising the steps of: dispersing micro-particles on the  
substrate surface; and irradiating the substrate surface with  
25 fast atomic beams at an angle of incidence determinable by <sup>an inclined</sup> ~~a slant~~



angle measured with respect to a rotation axis normal to the substrate surface while a beam source relatively swivels about the rotation axis. The ~~slant~~ <sup>inclined</sup> angle with respect to the rotation axis is more than 0 degree and can be selected in a range from 0 to 90 degrees. Usually the beam source is driven to swivel about the rotation axis, however, the substrate can be driven to rotate about the beam axis to obtain the same effect.

Another aspect of the invention is a method for making micro-protrusions or micro-cavities on a surface of a substrate comprising the steps of: dispersing micro-particles susceptible to etching by fast atomic beams on the substrate surface; and irradiating the substrate surface with fast atomic beams at an angle of incidence determinable by ~~a slant~~ <sup>an inclined</sup> angle measured with respect to a rotation axis normal to the substrate surface while a beam source relatively swivels about the rotation axis.

Another aspect of the invention is a method for making micro-protrusions or micro-cavities on a surface of a substrate comprising the steps of: a first irradiation step irradiating the substrate surface with fast atomic beams through a mask member consisting of parallel wire or rod members disposed in contact with or in proximity to the substrate surface; and a second irradiation step irradiating the substrate surface with fast atomic beams through a mask member consisting of parallel wire or rod members disposed in contact with or in proximity to the substrate surface, the parallel wire or rod members ~~are~~ <sup>are</sup> oriented

at right angles or at an oblique angle to those in the first irradiation step.

Another aspect of the invention is a slider member formed with a plurality of micro-protrusions or micro-cavities on at least one surface thereof, wherein the micro-protrusions or micro-cavities comprise top or bottom surfaces and side surfaces, and an inclusive angle of side surfaces of the micro-protrusions or micro-cavities is selected within a range of angles between 80 to 110 degrees measured with respect to the relative sliding direction of the slider member which is usually a direction parallel to the slider surface.

According to this aspect of the present invention, because the inclusive angle of contact of the side surfaces (upright surfaces) is selected within a range of angles between 80 to 110 degrees, foreign particles do not become entrapped between the micro-protrusion and the sliding surface, but are simply transported by being <sup>g</sup>butting against the micro-protrusions. In effect, the depression spaces formed by the protrusions act as pockets for the debris particles. Because of the appropriate choice of the inclusive angle, the size of the meniscus is reduced compared with the meniscus size formed in association with conventional micro-protrusions, and sticking is prevented without changing the usual operating parameters such as protrusion height, volume or lubricant thickness or temperature of operation. In other words, another parameter for preventing

sticking has been found to assure more reliable operation. Therefore, by forming the inclusive angle of contact to be between 80 to 110 degrees, a thicker layer of lubricant can be used to reduce wear while prevent sticking. Conversely, the control of the meniscus size, by controlling the inclusive angle of contact, enables the force of separation due to the presence of air pressure between the sliding surfaces and the force of attraction working at the meniscus to be optimally balanced, thereby leading to a possibility of effective adjustment of separation distance of the order of nanometers.

The friction reduction effect of the protrusion is especially high when the inclusive angle is larger than 90 degree, i.e. when  $90 < \theta \leq 110$ , because when the wear particle hits the protrusion, it goes down along the upright surface (side surface) so as not to cause to generate a large friction. The advantage is particularly prominent when the depression is formed as a lattice configuration. Otherwise, a large friction is generated to cause a damage to the slider member, fluctuation of the attitude of the slider member, distortion of the support mechanism for the slider member, or deterioration of the sliding surface, which may, at the worst, make the slider unusable.

The above and other objects, features, and advantages of the present invention will become apparent from the following description when taken in conjunction with the accompanying drawings which illustrate preferred embodiments of the present

invention by way of example.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a cross sectional view of the micro-  
5 protrusions in an embodiment of the present invention.

Figure 2 is a cross sectional view of the micro-  
protrusions shown in Figure 1 in contact with a sliding surface.

Figures 3A-3C are cross sectional views of various  
structures of the upright walls which may be produced.

10 Figures 4A-4D are cross sectional views of the steps in  
a first embodiment of the method for making the micro-  
protrusions.

15 Figures 5A-5C are cross sectional views of the steps in  
a second embodiment of the method for making the micro-  
protrusions.

Figures 6A-6C are cross sectional views of the steps in  
a third embodiment of the method for making the micro-  
protrusions.

20 Figure 7 is a perspective view of a masking comprising  
a rod assembly.

25 Figures 8A, 8C are cross sectional views of the steps for  
making the micro-protrusions in a fourth embodiment of the method,  
and Figure 8B is a perspective view of a net-type masking device  
and Figure 8D is a perspective view of a matrix-type product made  
by the process.

Figure 9 is a perspective view of another matrix-type masking made by the process.

Figure 10 is a perspective view of another matrix-type masking made by the process.

5 Figure 11 is a perspective view of another matrix-type masking made by the process.

Figure 12 is a perspective view of another matrix-type masking made by the process.

10 Figures 13A-13C are cross sectional views of the steps to produce the masking shown in Figure 9.

Figures 14A-14F are cross sectional views of the steps to produce the masking shown in Figure 10.

15 Figures 15A-15C are cross sectional views of the steps in a fifth embodiment of the method for making the micro-protrusions.

Figures 16A-16D are cross sectional views of the steps in a sixth embodiment of the method for making the micro-protrusions.

20 Figures 17A-17D are cross sectional views of the steps in a seventh embodiment of the method for making the micro-protrusions.

Figure 18 is a perspective view of a step in an eighth embodiment of the method for making the micro-protrusions.

24 25 Figure 19 is a perspective view of the produce<sup>+</sup> made in an eighth embodiment of the method for making the micro-

protrusions.

Figure 20 is a cross sectional view of micro-protrusions produced by a conventional method.

Figure 21 is a cross sectional view of micro-protrusions  
5 produced by a conventional method.

Figure 22 is a cross sectional view of micro-protrusions produced by a conventional method.

Figures 23A-23C are a cross sectional views of typical  
profiles of the micro-protrusions shown in Figures 20-22,  
10 respectively.

Figure 24 is a schematic illustration of the formation of a meniscus, an inclusive angle of contact and a typical foreign debris particle.

15 DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention will be explained in the following with reference to drawings and examples.

Figure 1 is a cross sectional view of a slider member according to the first embodiment of the invention having  
20 micro-protrusions thereon. In Figure 1, substrate 1 is the same type of magnetic disc as shown in Figure 20 having micro-protrusions 12a, 12b (shortened to protrusions hereinbelow) formed on a surface 12 of the substrate 1. As described earlier, the disc is ultimately completed by depositing a magnetic film  
25 layer and a protective film layer on the substrate 1 along the

contours of the protrusions 12a, 12b so that the protective film layer will constitute the ultimate sliding surface. The size of the protrusions 12a, 12b is, for example, 10 nm height by 1 mm width. Each of the protrusions 12a, 12b comprises a leading surface 12a<sub>1</sub>, 12b<sub>1</sub> oriented toward the direction of relative motion D and intersecting by 90 degrees with the sliding surfaces (the sliding surfaces may be considered to be basically the top surfaces of the protrusions 12a, 12b).

Figure 2 is a cross sectional view to illustrate the relationship between the protrusion 12a and a sliding surface 3 of the slider, a liquid substance 4, <sup>a</sup>foreign particles 5 and the direction of motion D. The notations are the same as those shown in Figure 24. As can be seen in this drawing, because the inclusive angle of contact of the upright surface 12a<sub>1</sub> of the protrusion 12a is 90 degrees with respect to the sliding surface 3, the meniscus formation is less and sticking is less prevalent than those for the protrusions made by the conventional process. Likewise, the foreign particle 5 is less likely to be included between the sliding surface 3 and the protrusion 12.

In the above embodiment, the inclusive angle of contact between the upright surfaces 12a<sub>1</sub>, 12b<sub>1</sub> of the protrusions 12a, 12b with respect to the sliding surface 3 in the direction of motion D was chosen to be 90 degrees; however, it is not necessary to restrict this angle to 90 degrees. The contact angle may be chosen in a range of 80-110 degrees. This is illustrated by the

cross sectional views of the protrusion shown in Figures 3A-3C. Figure 3A shows an inclusive angle of contact of the upright surface at 90 degrees, Figure 3B shows the angle of the upright surface  $12a_{101}$  of the protrusion  $12a_{10}$  at 110 degrees, and Figure 3C shows the angle for the upright surface  $12a_{201}$  of the protrusion  $12a_{20}$  at 80 degrees to the sliding surface. In all cases, the height of the protrusion is 10 nm. As shown in these drawings, the actual protrusions have their corners radiused at about 2 nm, but in practice, such rounding off of the corners of the protrusions against the sliding surface is unavoidable, and such radiusing has no bearing on the performance of the sliding surface structures, such as the formation of menisci and debris biting. It is also clear that the configuration of the root of the protrusion has no bearing on the biting of foreign particles and meniscus formation. The performance is determined by the inclusive angle of contact of the upright surface of the protrusion extending from the radiused corner.

At the present time, the most sensitive microprofiling device is <sup>an</sup> Atomic Force Microscope having a fine-needle sensor which explores between two objects to measure the interatomic forces acting between the two objects. However, it is difficult to determine the profile shape even with this instrument. In practice, as will be described in the embodiments to follow, the profile shape can be estimated from the angle of irradiation of the fast neutron particles which are used to produce the



protrusions.

At this point, the reasons for limiting the angle of the upright surface to between 80-110 degrees will be explained. Figure 3B shows the radiused section  $12a_{102}$  having an inclusive angle of contact of 110 degrees, and if the angle of the upright surface  $12a_{101}$  exceeds this value, the radiused section  $12a_{102}$  quickly becomes brittle and vulnerable to chipping. Therefore, about 110 degrees is suitable as the upper limit of the angle of the upright surface. The lower limit has been determined by experimentation so that if the angle is less than 80 degrees, the occurrence of sticking and debris biting becomes excessive so that 80 degrees has been chosen as the lower limit.

The process of forming the protrusions will be explained in the following. Figures 4A-4D are cross sectional views showing the steps in a first embodiment of making the protrusions. In Figure 4A, the substrate 1 is a glass substrate. As shown in Figure 4A, the top surface 10 of the substrate 1 is polished flat. Next, as shown in Figure 4B, fine powdery particles 13 (for example, resin particles which would not be etched by fast neutron particles) of 1 mm diameter as a <sup>mask or</sup> masking are dispersed on the top surface 10 which is irradiated with a fast neutron beam comprised of  $SF_6$  for one minute. The fast neutron beam is referred to as <sup>a</sup> Fast Atomic Beam (FAB) and is characterized by its high speed, electrical neutrality and linearity of beam propagation. Because the beam comprises neutron particles, not

ionic particles, the FAB is able to etch electrically insulating substances. The FAB has an excellent linear propagation property, and irradiation through a masking at right angles to a target surface will produce upright structures at 90 degrees.

5 The powder particles 13 are dispersed so that the FAB will etch 95 % of the planar area of the top surface 10, to produce the protrusions 12a, 12b which have the same profile as those shown in Figure 1. Next, the powder particles 13 are washed away,  
9 and a magnetic film layer 15 and a carbon film layer 16, functioning  
10 as a protective film having lubricating as well as anti-oxidation qualities, are deposited on the surfaces to follow the contours of the protrusions 12a, 12b. These steps complete the process of making a magnetic disc. The upright surfaces of the protrusions 12a, 12b are at 90 degrees to the direction D of the  
15 sliding motion of the substrate 1, and the carbon film layer 16 follows the contours of the protrusion at the same angle. The upright surfaces of the protrusions produced by the techniques presented in the second to fourth embodiments are formed in the same manner. The formation of a 90-degree angle on the upright  
20 surfaces has been made possible for the first time, only through the use of the fast neutron beam, and it should be noted that conventional techniques are not capable of producing such angles. Although the embodiment was illustrated with the use of powder particles 13 as a masking material, other materials such as fine  
25 pieces of needle fibers or plates, ionic crystals such as salt

can also be used.

Figures 5A-5C are cross sectional views to illustrate a process of producing protrusions in a second embodiment. Those

parts which are the same or equivalent to those shown in Figures

are given the same reference numerals, and their explanations are omitted. As shown in Figure 5A, a magnetic film 15 and a

protective film layer <sup>16</sup> (carbon, in this case) are deposited

on top of the substrate 1. Next, a masking device comprised by

wires 14 such as fine piano wires arranged in a plane, is

positioned near the carbon film layer <sup>16</sup>, and an oxygen FAB is

radiated from above. The resulting structure, shown in Figure

5C, comprises protrusions 16a,~16a, directly on top of the carbon

film layer 16 ~~on the sliding surface 16~~. In this example, wires

14 are separated from the carbon film layer 16, but it is

permissible to have the wires 14 ~~to~~ contact the carbon film layer

16. Also, it is not necessary to have wires 14 of circular cross

sectional shape, and other shapes such as square, oval,

trapezoidal and other shapes are permissible.

Figures 6A-6C are cross sectional views showing a process

of making protrusions in a third embodiment. In Figure 6A, a

magnetic head 20 (made of a ceramic material) <sup>has</sup> with a slider 21

having a smooth curved sliding surface 21a for sliding on a

magnetic disc (not shown). The curved surface is known as a crown,

and has a height of 25 nm, for example. The examples shown in

Figures <sup>4A-4D</sup> and <sup>5A-5C</sup> referred to making protrusions on magnetic discs,

but in this embodiment, the protrusions are provided on the slider. First, as shown in Figure 6B, the magnetic head 20 and the slider 21 are inverted, and a masking, comprising parallel wires 23, is disposed to face the curved surface 21a, and the FAB is irradiated from above. The resulting structure of the curved surface 20a of the slider 21b comprising protrusions 21b<sub>1</sub>, 21b<sub>2</sub>, 21b<sub>3</sub>, ... is shown in Figure 6C. It should be noted that, as in the second embodiment, the wires 23 may be placed in contact with the curved surface 21a, and, there is no need to restrict the cross sectional shape of the wires 23 to a circular shape, and other shapes such as square, oval and trapezoidal are permissible.

In the second and third embodiments, parallel wires 14, 23 were used for the masking device, but rod members may replace wire members. An example is shown in Figure 7 which is a perspective view of an assembly of rod members. Here, the masking device is comprised by a rod assembly 14A (23A) comprised by rod members 14A<sub>2</sub> arranged in parallel on a base section 14A<sub>1</sub>. These rod members 14A<sub>2</sub> may be replaced with wire members, as in the second and third embodiment, without affecting the result. The cross sectional shape of the rod members 14A<sub>2</sub> shown in Figure 7 is square, but other shapes such as circular, oval and trapezoidal shapes are also permissible. The wire assembly 14A (23A) shown in this drawing can be made by a process which will be presented later in Figure 13 or 14.

Figures 8A-8C show process steps related to making protrusions in a fourth embodiment, and Figure 8D is a perspective view of the product produced by the process. In this embodiment, protrusions are produced on top of the carbon film layer 26 serving as the protective layer for contacting the slider of the magnetic head. In contrast to the previous protrusions which were isolated entities, the protrusions produced in this example are formed in a contiguous way. As shown in Figure 8A, the magnetic head is comprised by ~~a~~ carbon film layer <sup>26</sup>~~28~~ and an underlying whole slider structure referred by ~~a~~ numeral 25. Figure 8B is a perspective view of a wire matrix 28 used as a masking for the FAB irradiation process. The matrix masking 28 is placed in the vicinity of the carbon film layer 26, and an oxygen FAB is radiated for fifteen seconds through the matrix masking 28. The resulting product is shown in Figure 8D comprising <sup>a contiguous</sup> carbon protrusions <sup>from</sup> 26a formed ~~contiguously in a~~ carbon film layer 26. The matrix masking 28 is disposed in such a way that the direction D of the relative sliding motion is aligned with the diagonals of <sup>formed in layer 26</sup> ~~the~~ square-shaped depressions. It should be noted again that there is no restriction in the cross sectional shape of the wires 28, and other shapes such as squares, oval, trapezoidal and other shapes may be substituted. The matrix masking 28 also need not necessarily be made into a net shape beforehand. It is permissible to utilize a set of parallel wires and another set of parallel wires disposed at right angles to

the first set to form a net shape.

2 The example illustrated in Figure 8D utilized a net type masking 28, but a matrix type masking may be made by using materials other than wires. Figures 9-12 show examples of other types of contiguous masking, referred <sup>to</sup> generally as matrix-type masking hereinbelow, which includes plate-type masking having fine holes which are equivalent in their performance for making protrusions. Figure 9 shows a matrix type masking 28A having a plurality of square-shaped cavities formed on a plate 10, Figure 10 shows a masking 28B having a plurality of hexagonal-shaped cavities, or honeycomb shaped cavities, formed on a plate 10, Figure 11 shows a masking 28C having a plurality of circular-shaped cavities formed on a plate 10, and Figure 12 shows a masking 28D having a plurality of rhombus-shaped cavities formed on a plate 10. Other shapes of cavities may also be adopted.

17 A method for making the matrix type masking shown in Figures 9-12 will be briefly explained with reference to Figures 13A-13C and 14A-14F. Figures 13A-13C, for example, relate to the steps for making the masking 28A shown in Figure 9. A base plate S is covered with a photoresist film R (Figure 13A); next, square shaped portions are removed from the photoresist film R by means of a photolithographic process (Figure 13B); cavities are formed in the base plate S corresponding to the locations of the removed sections of film R (Figure 13C) <sup>f</sup> ~~through~~ <sup>by an</sup> etching

*the*  
1 process to produce ~~a~~ matrix type masking 28A shown in Figure 9.

2 Figures 14A-14F, for example, relate to the steps for making the masking 28B shown in Figure 10. The masking process utilizes a base plate S, an electrically conductive layer E and  
5 a photoresist layer R. The conductive layer E is formed on the base plate S (Figure 14A), and the layer E is covered with the photoresist film R (Figure 14B). Next, hexagonal shaped portions are removed from the photoresist film R by means of  
9 photolithography process (Figure 14C); cavities are formed in the conductive layer E corresponding to locations of removed  
10 sections of film R *by an* ~~through~~ etching process, and the remaining resist film R is removed (Figure 14D). Using the remaining conductive layer E, a thick electroplated layer M is produced on the layer E (Figure 14E). Next, the conductive layer E is  
15 removed by immersing the entire masking-precursor in an etching solution which does not attack the base plate S and the plated layer M, the latter being separated ~~away~~ from the base plate S  
17 to produce ~~a~~ matrix type masking 28B shown in Figure 10. It is clear that the rod assembly 14A (23A) shown in Figure 7 can also  
18 be produced by the steps outlined in Figure *13A-13C* ~~13~~ or *14A-14F* ~~14~~.

20  
21, Figures 15A-15C are cross sectional views of the steps in making protrusions in a fifth embodiment. In contrast to each of the foregoing embodiments related to making protrusions having upright surface angles of 90 degrees, the fifth embodiment  
25 relates to making protrusion having upright surface angles

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exceeding 90 degrees, whose profile is the same as that shown  
in Figure <sup>3B</sup> 15. Figure 15A shows a substrate 1 for the magnetic  
disc including a magnetic film layer 15 and a carbon film layer  
16. Powder particles 13 (for masking) such as those shown in  
5 Figure 15B are dispersed on the surface of the magnetic disc,  
and the surface is irradiated with the FAB from above. A beam  
source 20 for the FAB is inclined at a specific angle with respect  
to an axis 19 which is at right angles to the surface of the  
magnetic disc. The FAB is emitted from the beam source 20 to  
10 the carbon film layer 16 while the source 20 is made to relatively  
swivel about the axis 19. In Figure 15B, the incident beams  
emitted when the beam source 20 is located at the double-dotted  
broken line are shown by solid lines while the incident beams  
emitted when the beam source 20 is located at the opposite  
15 location are shown by ordinary broken lines. By utilizing this  
method, protrusions 16b<sub>1</sub> having upright surface angles in excess  
of 90 degrees are formed on the sliding surface.

*f* Figures 16A~16D are cross sectional views, in a sixth  
embodiment, of the steps for making protrusions on a magnetic  
20 disc having a carbon film layer 16 with the use of powder particles  
30 made of carbon, for example. As shown in Figure 16A, the carbon  
particles 30 are dispersed on the carbon film layer 16, and the  
FAB is radiated from above. After a certain period of  
irradiation, protrusions 16a<sub>1</sub> shown in Figure 16<sup>B</sup> are formed on  
25 the carbon film layer 16, however, because the FAB <sup>10</sup> are also



directed at the powder particles 30, their diameters are reduced during the irradiation process. An example of the reduced-diameter powder particle 30a<sub>1</sub> is shown in Figure 16B. When the irradiation process is continued in this state, because the masking particle now has a reduced diameter, a protrusion 16a<sub>2</sub> having a smaller diameter than the original powder particle 30 is formed on top of the prior protrusion 16a<sub>1</sub>, as illustrated in Figure 16C. The powder particle becomes further reduced to produce a powder particle 30a<sub>2</sub>, as shown in Figure 16C. If the irradiation time and/or the irradiation strength are adjusted so as to produce powder particles of gradually reducing diameters, the protrusion assumes substantially a cone shape as illustrated in Figure 16D, and the original powder particle 30 becomes a micro-particle 30a<sub>n</sub>, and ultimately disappears as the irradiation process is continued. The process finally produces protrusions having an upright surfaces oriented at angles less than 90 degrees with respect to the sliding surface. An advantage of this process is that the cumbersome step of washing off the powder particles necessary in the example shown in Figures 4A-4D can be eliminated. It can be readily understood that the use of the above process simultaneously with the method of slanted irradiation FAB shown in Figures 15A-15C will enable to produce an inclusive angle of the upright surface at 90 degrees with respect to the sliding surface.

1 The foregoing embodiments are related to method of forming  
protrusions on a magnetic disc or slider surface. It should be  
noted that formation of such protrusions is not limited to  
magnetic discs or sliders, and they can be produced equally well  
5 on other devices such as optical magnetic discs and their  
6 associated parts. An example of application to radial slide  
7 bearing is illustrated in Figures 17A-17D, and an application  
8 ~~example to thrust bearing~~ <sup>a</sup> ~~is shown in Figure 18.~~ <sup>example of</sup>

9  
10 Figures 17A-17D are cross sectional views of the steps  
of making protrusion in a seventh embodiment. A radial slide  
bearing housing comprises a steel block 33 having an axial hole  
34 through the middle thereof for insertion of a rotation shaft  
13 (not shown). As shown in Figure 17A, the block 33 constitutes  
a housing for the bearing, and the inside surface of the axial  
15 hole serves as the bearing surface. Next, as shown in Figure  
17B, parallel wires 36 are arranged to face the inner surface  
of the block 33, and a beam source 38 shown in Figure 17C is  
inserted into the axial hole 34 so as to irradiate the inner  
surface of the axial hole 34 with the FAB. This FAB irradiation  
20 process is carried out while rotating the beam source 38 about  
its axis 38a as well as translating the beam source 38 in the  
axial direction. This process results in the production of  
protrusions 39, on the inner surface of the axial hole 34, having  
upright surfaces at 90 degrees to the sliding surface, as shown  
25 in Figure 17D.

Figure 18 is a perspective view of a step in making the protrusions in an eighth embodiment. A plurality of wires 44 are arranged radially on a thrust bearing housing 43, made of steel, having a sliding surface 43a, and the FAB is irradiated from above. This process results in the production of protrusions on the sliding surface 43a, but the process of formation is similar to the cases presented earlier and will not be illustrated.

Figure 19 is a perspective view of a step in making the protrusions in another embodiment. All of the foregoing embodiments are related to making single-stage protrusions, including the one shown in Figure 16D. This may appear to be a multi-stage protrusion on a microscopic scale, but this is effectively a single-stage protrusion. It should be noted that the multi-stage protrusions are equally effective as single-stage protrusions.

Figure 19 shows a case of forming two-stage protrusions on a carbon film layer 26. In Figure 19, protrusions 26b are comprised of a plurality of top-stage protrusions 26b<sub>1</sub> and lower-stage protrusions 26b<sub>2</sub>. The protrusions 26a which were shown in Figure 8 were made by using a matrix type masking comprising a wire-net 28. The protrusions 26b shown in Figure 19 are made by arranging wires of the net aligned in one direction as a first masking. And after irradiating with the FAB, the wires are then arranged in the orthogonal direction to be used as a

second masking, to finally produce two-stage protrusions. The protrusions 26b shown in Figure 19 were made by this two-step process. That is, wires aligned in the Y-direction were used first to irradiate with the FAB, and after removing these Y-wires, another set of wires aligned in the X-direction were used for further irradiation.

Such two-stage protrusions 26b not in contact <sup>with</sup> ~~to~~ each other through the sliding surface can be produced by using the above method, without relying on the powder process illustrated in Figures 4A-4D, thereby simplifying the process. The two-stage protrusions can also be made by using a masking device based on the rod assembly 14A shown in Figure 7. When this masking is used once, arrays of linear contiguous protrusions are formed, and then by rotating the masking and irradiating again, it is possible to produce independent two-stage protrusions shown in Figure 19.

In overall summary, the micro-protrusions presented in the present invention are unique because of the inclusive angle of contact of the upright surface is limited <sup>to be</sup> in a range between 80-110 degrees, depending on the application requirements. This range of angles is effective in preventing biting of foreign debris in the inclusion space and sticking of the sliding surfaces. The use of the fast atomic beam has been the key factor which enabled for the first time <sup>to</sup> ~~to~~ select <sup>junction</sup> the contact angle of the upright surfaces.

## ABSTRACT OF THE DISCLOSURE

2 The slider ~~according to the invention~~ can prevent the phenomenon of sticking and reduce entrapping of foreign particles between ~~the~~ sliding surfaces. ~~The~~ method for making micro-protrusions or micro-cavities on a surface of a substrate comprises ~~the steps of:~~ placing the substrate in a process chamber, supporting a mask member having a micro shielding surface independent of and in front of the substrate, and irradiating fast atomic beams onto the surface of the substrate through the mask member.

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